

Lecture 4

Eigenvarieties for definite unitary groups

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G. Chenevier

Back to lecture 3

- n=2 Stevens "oc. modular symbols  $GL_2$ " (preprint 95')
- Butzard "p-adic mod forms quat. algebras"
- any n, independently - At-Steven "  $GL_n$ " (preprint 2000)
- ch. "Galle's 2004."

Today Coleman's "p-adic families..."  
Butzard's "eigenvarieties"

(A) Unitary groups

1.  $k$  field,  $E/k$  étale  $d^2$ ,  $\Delta, E$  central simple algebra of  $n^2$  +  $*$ :  $\Delta \rightarrow \Delta$ , anti  $k$ -linear involution inducing the non-trivial  $E \rightarrow$

$\Rightarrow$  group functor on  $k$ -algebras  $A$  by

$$G(A) = \{x \in (\Delta \otimes_k A)^x, xx^* = 1\}$$

e.g.  $* E = k \times k$ ,  $\Delta = \Delta_1 \times \Delta_2$ ,  $G \xrightarrow{\sim} \Delta_i^*$ , e.g.  $GL_n(k)$  occurs this way  
 $\Delta_2^* = \Delta_1$ ,  $\Delta_1^* = \Delta_2$ ,  $\Delta_1^* = \Delta_1$  off  $\mathbb{R}$

\*  $\Delta = M_n(E)$ ,  $*$  is the adjunction for a hermitian form when  $E \neq k \times k$ , we talk about unitary groups.

2.  $k = \mathbb{Q}$ ,  $E/\mathbb{Q}$  quad. imaginary field, then

i) if  $p = u\bar{u}$  splits in  $E$ ,  $G(\mathbb{Q}_p) \xrightarrow{\sim} \Delta_{E_0}^*$ , and for a. all  $p \xrightarrow{\sim} GL_n(\mathbb{Q}_p)$

ii)  $p$  not split, unitary group

iii)  $G(\mathbb{R}) \xrightarrow{\sim} U(a,b)$  unit. gp. signature  $(a,b)$   $a+b=n$ .

fix  $E \rightarrow \mathbb{C}$

Today's assumptions

- i) Fix  $p = u\bar{u}$  split, and  $u$ , such that  $G(\mathbb{Q}_p) \xrightarrow{\sim} GL_n(\mathbb{Q}_p)$
- ii) signature  $(a,b) = (n,0)$  or  $(0,n)$ .

Remk.: plenty of them:  $\exists$  Hasse's principle for unitary groups

$$\mathcal{A} = L^2(G(\mathbb{Q}) \backslash G(\mathbb{A}), \mathbb{C}) = \bigoplus_{\text{fin.}}^{\text{top.}} m(\pi) \pi$$

$m(\pi) \neq 0$ , finite

$\nearrow$  automorphic rep. of  $G$ .  
irreducible

$\curvearrowright$   
right translation of  $G(\mathbb{A})$ ; each  $\pi$  is discrete, algebraic, cuspidal.

Main facts × finiteness class number:  $G(\mathbb{A}_f) = \prod_{i=1}^h G(\mathbb{Q}) \alpha_i K$   
 $\forall K$  a comp. op. subgroup of  $G(\mathbb{A}_f)$   
 ×  $G(\mathbb{Q}) \hookrightarrow G(\mathbb{A}_f)$  is discrete, hence arithmetic subgroups of  $G$ , i.e.  $G(\mathbb{Q}) \cap K$ , are all finite.

Rk.  $G(\mathbb{Q}) \backslash G(\mathbb{A}) / G(\mathbb{R}) \times K$  finite, however arithmetically very rich.

× Fix  $K$  c.o. subgroup,  $\mathbb{Z} \in \mathbb{Z}^{n,+}$ . By  $G(\mathbb{R}) \hookrightarrow GL_n(\mathbb{C})$ , may view  $V_k(\mathbb{C})$  as a rep. of  $G(\mathbb{R})$ , we get all of the continuous ones this way.

Def  $f \in \mathcal{A}$  is an aut. form of wt  $k$ , level  $K$ , if  $K.f = f$  and  $G(\mathbb{R}).f$  is a finite sum of  $V_k^*$ .

③ Hecke - Iwahori algebra

Recall  $I \subset GL_n(\mathbb{Q}_p)$ ,  $M = \langle I, J^+ \rangle$

proposition  $\mathbb{Z}[I^M / I]$  is commutative ring:  $[IvI][Iu'I] = [Iu'v'I]$  (Schneider - st.)

call it  $\mathcal{H}_p$

unramified.

Let  $\pi$  be an irreducible smooth  $\vee$  representation of  $GL_n(\mathbb{Q}_p)$ ,  $\lambda \in \mathbb{Z}$  such that  $\pi^I \neq 0$ .

$\pi \rightsquigarrow$  Langlands class of geom Frob.  $\in GL_n(\mathbb{C})$   
 semi-simple element.  
 $L(\pi)$

gen. Eigenspaces of  $\mathcal{X}_p \subset \pi^I$   $\xrightarrow{\text{natural (inj)}}$  {ordering of eigenvalues of  $L(\pi)$ } =:  $\{p\text{-refinement } (\phi_1, \dots, \phi_n)$

~~the image~~ the image are the orderings such that  $\phi_i = p \phi_j \Rightarrow i < j$  (check)

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esp: generic case,  $n!$  ordering

$\pi$  = trivial, unique one  $(p^{+\frac{(n-1)}{2}}, \dots, p^{-\frac{n-1}{2}})$

Call these orderings the "accessible"  $p$ -refinements of  $\pi$ .

① The Eigenvariety

Fix  $K = K_p \times K^p$  with  $K_p \hookrightarrow \text{Gln}(\mathcal{O}_p)$  Iwahori subgroup.

$\mathcal{H}$  a commutative Hecke algebra  $\supset \mathcal{H}_p$  at  $p$ , the unramified Hecke alg. at all other places.

Eigenforms  $f \neq 0 \in \mathcal{H}$  give rise to a ring homomorphism  $\Psi_f: \mathcal{H} \rightarrow \mathbb{C}$ .

Fix  $\bar{\mathbb{Q}} \begin{matrix} \rightarrow \mathbb{Q} \\ \rightarrow \bar{\mathbb{O}}_p \end{matrix}$ , it is a fact (algebraicity) that  $\Psi_f(x)$  falls in  $\bar{\mathbb{Q}}$  hence may be viewed as  $\bar{\mathbb{O}}_p$  valued, and it makes sense to talk about congruences.

Let  $\mathcal{N} = \text{Hom}(T(\mathbb{Z}_p), \mathbb{G}_m) \supset \mathbb{Z}^{n+1}$  as before.

Theorem (Chf indep Emerton)

there exists a rigid analytic space  $\mathcal{E}$  over  $\mathcal{O}_p$  which is separated, noetherian, equidimensional  $n$  equipped with

(a) A Ring hom.  $\Psi: \mathcal{H} \rightarrow \mathcal{O}(\mathcal{E})^{\leq 1}$

(b) A finite map  $(K, (F_i)_{i=1}^n): \mathcal{E} \rightarrow \mathcal{N} \times \mathbb{G}_m^n$

with  $K$  locally finite and surjective ( $\mathcal{E} \rightarrow \mathcal{N}$ )

(c) A Zariski-dense subset  $\mathcal{Z} \subset \mathcal{E}(\bar{\mathbb{O}}_p)$ , such that.

i) If  $z \in \mathcal{Z}$ ,  $\Psi_z = \Psi_{f_z}$  for a  $\forall p$  eigenform  $f_z$  of weight  $k(z)$  and level  $K$ .

Any such form appears this way.

over,  $(p^{-\text{rank}_n(z)} F_n(z), \dots, p^{-k_i(z)} F_i(z))$  is the  $p$ -refinement of  $f_z$  associated to  $\Psi_{f_z} | \chi_p$ .

i)  $x, y \in X(\bar{\mathbb{Q}}_p)$  are equal iff  $\Psi_x = \Psi_y$

ii) If  $x \in X(\bar{\mathbb{Q}}_p)$  is such that  $k(x) = (k_1, \dots, k_n) \in \mathbb{Z}^{n+1}$  and  $v(F_1(x) F_2(x)^2 \dots F_{n-1}(x)^{n-1}) < 1 + \sum_{i=1}^{n-1} (k_i - k_{i+1})$ , then  $x \in \mathbb{Z}$ .

### Ⓔ Proof

General strategy closed to Coleman's one.

### Ⓐ $\mathbb{Q}_p$ -model for automorphic forms

Let  $F$  be the functor  $\{M\text{-modules}\} \rightarrow \{H\text{-modules}\}$

$$F(V) := \left\{ f: G(\mathbb{Q}) \backslash G(\mathbb{A}_f) \rightarrow V, f(xu) = \chi_p^{-1} f(x) \right\}$$

$$\forall x \in K_p$$

$$\downarrow f \mapsto (f(x_i))$$

$$\prod_{i=1}^k V^{T_i}$$

$T_i = \chi_p^{-1} K_{x_i} \cap x_i^{-1} G(\mathbb{Q}) x_i$ : finite group.

Fact:  $S_k(K) \xrightarrow{\sim} F(V_k^*)$

$\uparrow$   $\chi$ -module of forms wt  $k$ , level  $k$

(similar to the way we associate  $p$ -adic Hecke char. to complex ones)

### Ⓒ $S_k(K) \xrightarrow{\sim} p\text{-adic aut. forms}$

$$F(V_{-k}) \xrightarrow{\sim} F(V_k^*) = S_k(K)$$

defined in previous lecture.

Same argument  $\Rightarrow$   $\left[ \begin{array}{l} \text{small slope forms} \\ \text{compatible} \end{array} \right] \Rightarrow$  (explain Banach norm).  
small slope forms are classical.

$\Omega \subset \mathcal{N}$ ,  $r \geq r_\Omega$  as before, it makes sense to define

$$S_{\Omega, r}(K) := F(\mathcal{V}_{\Omega, r})$$

$\left\{ \begin{array}{l} \text{PDN-able } \mathcal{O}(\Omega, r) \text{-module} \\ \mathcal{K} \text{ acts by continuous end-norm } \leq 1 \\ [I \times I], u \in U^{++} \text{ are compact.} \end{array} \right.$

①  $U_p = [I(1 \dots p^{n_i})I] \rightsquigarrow \det(1 - T U_p)$  well defined element in  $\mathcal{O}(\mathcal{N} \times G_m)$

whose evaluation at  $k \in \mathbb{Z}^{k,+} \hookrightarrow \mathcal{N}$  is  $\det(1 - T U_p | S_k(K))$ .

(use the fact that  $F$  commutes with any base change)

② Construct from this the spectral variety of  $U_p \subset \mathcal{N} \times G_m$ , and above this the eigenvariety  $\mathcal{E}$  as in Kevin's lectures (use Kevin's covering)

NB: - locally,  $k: \mathcal{E} \rightarrow \mathcal{N}$  has all the properties of  $B \subset M_n(A)$   
A open aff. of  $\mathcal{N}$ ,  
- We can give <sup>explicit</sup> ~~precise~~ radii for families (factorisation of  $CPS(U_p)$ )  
in terms of the class number  $h$ . (extending Wan's approach, Kevin's also).