

# CHARACTER SHEAVES AND GENERALIZATIONS

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*Dedicated to I. M. Gelfand on the occasion of his 90th birthday*

1. Let  $\mathbf{k}$  be an algebraic closure of a finite field  $\mathbf{F}_q$ . Let  $G = GL_n(\mathbf{k})$ . The group  $G(\mathbf{F}_q) = GL_n(\mathbf{F}_q)$  can be regarded as the fixed point set of the Frobenius map  $F : G \rightarrow G, (g_{ij}) \mapsto (g_{ij}^q)$ . Let  $\bar{\mathbf{Q}}_l$  be an algebraic closure of the field of  $l$ -adic numbers, where  $l$  is a prime number invertible in  $\mathbf{k}$ . The characters of irreducible representations of  $G(\mathbf{F}_q)$  over an algebraically closed field of characteristic 0, which we take to be  $\bar{\mathbf{Q}}_l$ , have been determined explicitly by J.A.Green [G]. The theory of character sheaves [L2] tries to produce some geometric objects over  $G$  from which the irreducible characters of  $G(\mathbf{F}_q)$  can be deduced for any  $q$ . This allows us to unify the representation theories of  $G(\mathbf{F}_q)$  for various  $q$ . The geometric objects needed in the theory are provided by intersection cohomology.

Let  $X$  be an algebraic variety over  $\mathbf{k}$ , let  $X_0$  be a locally closed irreducible, smooth subvariety of  $X$  and let  $\mathcal{E}$  be a local system over  $X_0$  (we say "local system" instead of " $\bar{\mathbf{Q}}_l$ -local system"). Deligne, Goresky and MacPherson attach to this datum a canonical object  $IC(\bar{X}_0, \mathcal{E})$  (intersection cohomology complex) in the derived category  $\mathcal{D}(X)$  of  $\bar{\mathbf{Q}}_l$ -sheaves on  $X$ ; this is a complex of sheaves which extends  $\mathcal{E}$  to  $X$  (by 0 outside the closure  $\bar{X}_0$  of  $X_0$ ) in the most economical possible way so that local Poicaré duality is satisfied. We say that  $IC(\bar{X}_0, \mathcal{E})$  is irreducible if  $\mathcal{E}$  is irreducible.

Now take  $X = G$  and take  $X_0 = G_{rs}$  to be the set of regular semisimple elements in  $G$ . Let  $T$  be the group of diagonal matrices in  $G$ . For any integer  $m \geq 1$  invertible in  $\mathbf{k}$  we have an unramified  $n!m^n$ -fold covering

$$\pi_m : \{(g, t, xT) \in G_{rs} \times T \times G/T; x^{-1}gx = t^m\} \rightarrow G_{rs}, \quad (g, t, xT) \mapsto g.$$

An irreducible local system  $\mathcal{E}$  on  $G_{rs}$  is said to be admissible if it is a direct summand of the local system  $\pi_{m!}\bar{\mathbf{Q}}_l$  for some  $m$  as above. The character sheaves on  $G$  are the complexes  $IC(G, \mathcal{E})$  for various admissible local systems  $\mathcal{E}$  on  $G_{rs}$ .

We show how the irreducible characters of  $G(\mathbf{F}_q)$  can be recovered from character sheaves on  $G$ . If  $A$  is a character sheaf on  $G$  then its inverse image  $F^*A$  under  $F$  is again a character sheaf. There are only finitely many  $A$  (up to isomorphism) such that  $F^*A$  is isomorphic to  $A$ . For any such  $A$  we choose an isomorphism

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$\phi : F^*A \xrightarrow{\sim} A$  and we form the characteristic function  $\chi_{A,\phi} : G(\mathbf{F}_q) \rightarrow \bar{\mathbf{Q}}_l$  whose value at  $g$  is the alternating sum of traces of  $\phi$  on the stalks at  $g$  of the cohomology sheaves of  $A$ . Now  $\phi$  is unique up to a non-zero scalar hence  $\chi_{A,\phi}$  is unique up to a non-zero scalar. It turns out that

(a)  $\chi_{A,\phi}$  is (up to a non-zero scalar) the character of an irreducible representation of  $G(\mathbf{F}_q)$  and  $A \mapsto \chi_{A,\phi}$  gives a bijection between the set of (isomorphism classes of) character sheaves on  $G$  that are isomorphic to their inverse image under  $F$  and the irreducible characters of  $G(\mathbf{F}_q)$ .

(This result is essentially contained in [L1,L3].) The main content of this result is that the (rather complicated) values of an irreducible character of  $G(\mathbf{F}_q)$  are governed by a geometric principle, namely by the procedure which gives the intersection cohomology extension of a local system.

**2.** More generally, assume that  $G$  is a connected reductive algebraic group over  $\mathbf{k}$ . The definition of the  $IC(G, \mathcal{E})$  given above for  $GL_n$  makes sense also in the general case. The complexes on  $G$  obtained in this way form the class of *uniform* character sheaves on  $G$ . Consider now a fixed  $\mathbf{F}_q$ -rational structure on  $G$  with Frobenius map  $F : G \rightarrow G$ . The analogue of property 1(a) does not hold in general for  $(G, F)$ . It is still true that the characteristic functions of the uniform character sheaves that are isomorphic to their inverse image under  $F$  are linearly independent class functions  $G(\mathbf{F}_q) \rightarrow \bar{\mathbf{Q}}_l$ . However they do not form a basis of the space of class functions. Moreover they are in general not irreducible characters of  $G(\mathbf{F}_q)$  (up to a scalar); rather, each of them is a linear combination with known coefficients of a "small" number of irreducible characters of  $G(\mathbf{F}_q)$  (where "small" means "bounded independently of  $q$ "); this result is essentially contained in [L1,L3].

It turns out that the class of uniform character sheaves can be naturally enlarged to a larger class of complexes on  $G$ .

For any parabolic  $P$  of  $G$ ,  $U_P$  denotes the unipotent radical of  $P$ . For a Borel  $B$  in  $G$ , the images under  $c^B : G \rightarrow G/U_B$  of the double cosets  $BwB$  form a partition  $G/U_B = \cup_w (BwB/U_B)$ .

An irreducible intersection cohomology complex  $A \in \mathcal{D}(G)$  is said to be a character sheaf on  $G$  if it is  $G$ -equivariant and if for some/any Borel  $B$  in  $G$ ,  $c_!^B A$  has the following property:

(\*) *any cohomology sheaf of this complex restricted to any  $BwB/U_B$  is a local system with finite monodromy of order invertible in  $\mathbf{k}$ .*

Then any uniform character sheaf on  $G$  is a character sheaf on  $G$ . For  $G = GL_n$  the converse is also true, but for general  $G$  this is not so.

Consider again a fixed  $\mathbf{F}_q$ -rational structure on  $G$  with Frobenius map  $F : G \rightarrow G$ . The following partial analogue of property 1(a) holds (under a mild restriction on the characteristic of  $\mathbf{k}$ ).

(a) *The characteristic functions of the various character sheaves  $A$  on  $G$  (up to isomorphism) such that  $F^*A \xrightarrow{\sim} A$  form a basis of the vector space of class functions  $G(\mathbf{F}_q) \rightarrow \bar{\mathbf{Q}}_l$ .*

**3.** We now fix a parabolic  $P$  of  $G$ . For any Borel  $B$  of  $P$  let  $\tilde{c}^B : G/U_P \rightarrow G/U_B$  be the obvious map. Now  $P$  acts on  $G/U_P$  by conjugation.

An irreducible intersection cohomology complex  $A \in \mathcal{D}(G/U_P)$  is said to be a parabolic character sheaf if it is  $P$ -equivariant and if for some/any Borel  $B$  in  $P$ ,  $\tilde{c}_1^B A$  has property 2(\*). When  $P = G$ , we recover the definition of character sheaves on  $G$ .

Consider now a fixed  $\mathbf{F}_q$ -rational structure on  $G$  with Frobenius map  $F : G \rightarrow G$  such that  $P$  is defined over  $\mathbf{F}_q$ . Then  $G/U_P$  has a natural  $\mathbf{F}_q$ -rational structure with Frobenius map  $F$ . The following generalization of 2(a) holds (under a mild restriction on the characteristic of  $\mathbf{k}$ ).

(a) *The characteristic functions of the various parabolic character sheaves  $A$  on  $G/U_P$  (up to isomorphism) such that  $F^* A \xrightarrow{\sim} A$  form a basis of the vector space  $\mathcal{V}$  of  $P(\mathbf{F}_q)$ -invariant functions  $G(\mathbf{F}_q)/U_P(\mathbf{F}_q) \rightarrow \bar{\mathbf{Q}}_l$ .*

The proof is given in [L5]. It relies on a generalization of property 2(a) to not necessarily connected reductive groups which will be contained in the series [L6].

If  $h : G(\mathbf{F}_q) \rightarrow \bar{\mathbf{Q}}_l$  is the characteristic function of a character sheaf as in 2(a) then by summing  $h$  over the fibres of  $G(\mathbf{F}_q) \rightarrow G(\mathbf{F}_q)/U_P(\mathbf{F}_q)$  we obtain a function  $\bar{h} \in \mathcal{V}$ . It turns out that each function  $\bar{h}$  is a linear combination of a "small" number of elements in the basis of  $\mathcal{V}$  described above. (The fact such a basis of  $\mathcal{V}$  exists is not apriori obvious.)

The parabolic character sheaves on  $G/U_P$  are expected to be a necessary ingredient in establishing the conjectural geometric interpretation of Hecke algebras with unequal parameters given in [L4].

**4.** In this section  $G$  denotes an abelian group with a given family  $\mathfrak{F}$  of automorphisms such that

- (i) if  $F \in \mathfrak{F}$  and  $n \in \mathbf{Z}_{>0}$ , then  $F^n \in \mathfrak{F}$ ;
- (ii) if  $F \in \mathfrak{F}, F' \in \mathfrak{F}$  then there exist  $n, n' \in \mathbf{Z}_{>0}$  such that  $F^n = F'^{n'}$ ;
- (iii) for any  $F \in \mathfrak{F}$ , the map  $G \rightarrow G, x \mapsto F(x)x^{-1}$  is surjective with finite kernel.

For  $F \in \mathfrak{F}$  and  $n \in \mathbf{Z}_{>0}$ , the homomorphism

$$N_{F^n/F} : G \rightarrow G, x \mapsto xF(x) \dots F^{n-1}(x),$$

restricts to a surjective homomorphism  $G^{F^n} \rightarrow G^F$ . (If  $y \in G^F$  we can find  $z \in G$  with  $y = F^n(z)z^{-1}$ , by (i),(iii). We set  $x = F(z)z^{-1}$ . Then  $x \in G^{F^n}$  and  $N_{F^n/F}(x) = y$ .) Let  $X$  be the set of pairs  $(F, \psi)$  where  $F \in \mathfrak{F}$  and  $\psi \in \text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)$ . Consider the equivalence relation on  $X$  generated by  $(F, \psi) \sim (F^n, \psi \circ N_{F^n/F})$ . Let  $G^*$  be the set of equivalence classes. We define a group structure on  $G^*$ . We consider two elements of  $G^*$ ; we represent them in the form  $(F, \psi), (F', \psi')$  where  $F = F'$  (using (ii)) and we define their product as the equivalence class of  $(F, \psi\psi')$ ; one checks that this product is independent of the choices. This makes  $G^*$  into an abelian group. The unit element is the equivalence class of  $(F, 1)$  for any  $F \in \mathfrak{F}$ . For  $F \in \mathfrak{F}$  we define an automorphism  $F^* : G^* \rightarrow G^*$  by sending an element of  $G^*$  represented by  $(F^n, \psi)$  with  $n \in$

$\mathbf{Z}_{>0}$ ,  $\psi \in \text{Hom}(G^{F^n}, \bar{\mathbf{Q}}_l^*)$  to  $(F^n, \psi \circ F)$  (here  $\psi \circ F$  is the composition  $G^{F^n} \xrightarrow{F} G^{F^n} \xrightarrow{\psi} \bar{\mathbf{Q}}_l^*$ ); one checks that this is well defined. For any  $F \in \mathfrak{F}$  the map  $\text{Hom}(G^F, \bar{\mathbf{Q}}_l^*) \rightarrow G^*$ ,  $\psi \mapsto (F, \psi)$  is

(a) a group isomorphism of  $\text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)$  onto the subgroup  $(G^*)^{F^*}$  of  $G^*$ .

(This follows from the surjectivity of  $N_{F^n/F} : G^{F^n} \rightarrow G^{F^*}$ .)

**5.** Assume now that  $G$  is an abelian, connected (affine) algebraic group over  $\mathbf{k}$ . We define the notion of character sheaf on  $G$ .

Let  $\mathfrak{F}$  be the set of Frobenius maps  $F : G \rightarrow G$  for various rational structures on  $G$  over a finite subfield of  $\mathbf{k}$ . (These maps are automorphisms of  $G$  as an abstract group.) Then properties 4(i)-4(iii) are satisfied for  $(G, \mathfrak{F})$  hence the abelian group  $G^*$  is defined as in §4. We will give an interpretation of  $G^*$  in terms of local systems on  $G$ . Let  $F \in \mathfrak{F}$ . Let  $L : G \rightarrow G$  be the Lang map  $x \mapsto F(x)x^{-1}$ . Consider the local system  $E = L_! \bar{\mathbf{Q}}_l$  on  $G$ . Its stalk at  $y \in G$  is the vector space  $E_y$  consisting of all functions  $f : L^{-1}(y) \rightarrow \bar{\mathbf{Q}}_l$ . We have  $E_y = \bigoplus_{\psi \in \text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)} E_y^\psi$  where

$$E_y^\psi = \{f \in E_y; f(zx) = \psi(z)f(x) \quad \forall z \in G^F, x \in L^{-1}(y)\}.$$

We have a canonical direct sum decomposition  $E = \bigoplus_{\psi} E^\psi$  where  $E^\psi$  is a local system of rank 1 on  $G$  whose stalk at  $y \in G$  is  $E_y^\psi$  ( $\psi$  as above). There is a unique isomorphism of local systems  $\phi : F^* E^\psi \xrightarrow{\sim} E^\psi$  which induces identity on the stalk at 1. This induces for any  $y \in G$  the isomorphism  $E_{F^{-1}(y)}^\psi \rightarrow E_y^\psi$  given by  $f \mapsto f'$  where  $f'(x) = f(F(x))$ . If  $y \in G^F$ , this isomorphism is multiplication by  $\psi(y)$ . Thus, the characteristic function  $\chi_{E^\psi, \phi} : G^F \rightarrow \bar{\mathbf{Q}}_l$  is the character  $\psi$ .

Let  $n \in \mathbf{Z}_{>0}$ . Let  $L' : G \rightarrow G$  be the map  $x \mapsto F^n(x)x^{-1}$ . Consider the local system  $E' = L'_! \bar{\mathbf{Q}}_l$  on  $G$ . Its stalk at  $y \in G$  is the vector space  $E'_y$  consisting of all functions  $f' : L'^{-1}(y) \rightarrow \bar{\mathbf{Q}}_l$ . We define  $E_y \rightarrow E'_y$  by  $f \mapsto f'$  where  $f'(x) = f(N_{F^n, F} x)$  (note that  $N_{F^n, F}(L'^{-1}(y)) \subset L^{-1}(y)$ ). This is induced by a morphism of local systems  $E \rightarrow E'$  which restricts to an isomorphism  $E^\psi \xrightarrow{\sim} E'^{\psi'}$  where  $\psi' = \psi \circ N_{F^n, F} \in \text{Hom}(G^{F^n}, \bar{\mathbf{Q}}_l^*)$ .

From the definitions we see that, if  $\psi, \psi' \in \text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)$  then for any  $y \in G$  we have an isomorphism  $E_y^\psi \otimes E_y^{\psi'} \xrightarrow{\sim} E_y^{\psi\psi'}$  given by multiplication of functions on  $L^{-1}(y)$ . This comes from an isomorphism of local systems  $E^\psi \otimes E^{\psi'} \xrightarrow{\sim} E^{\psi\psi'}$ .

A *character sheaf* on  $G$  is by definition a local system of rank 1 on  $G$  of the form  $E^\psi$  for some  $(F, \psi)$  as above. Let  $\mathcal{S}(G)$  be the set of isomorphism classes of character sheaves on  $G$ . Then  $\mathcal{S}(G)$  is an abelian group under tensor product. The arguments above show that  $(F, \psi) \mapsto E^\psi$  defines a (surjective) group homomorphism  $G^* \rightarrow \mathcal{S}(G)$ . This is in fact an isomorphism. (It is enough to show that, if  $(F, \psi)$  is as above and  $\psi' \in \text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)$  is such that the local systems  $E^\psi, E^{\psi'}$  are isomorphic, then  $\psi = \psi'$ . As we have seen earlier, each of  $E^\psi, E^{\psi'}$  has a unique isomorphism  $\phi, \phi'$  with its inverse image under  $F : G \rightarrow G$  which induces the identity at the stalk at 1. Then we must have  $\chi_{E^\psi, \phi} = \chi_{E^{\psi'}, \phi'}$  hence  $\psi = \psi'$ . Note that for  $F \in \mathfrak{F}$ , the map  $F^* : G^* \rightarrow G^*$  corresponds under the isomorphism

$G^* \xrightarrow{\sim} \mathcal{S}(G)$  to the map  $\mathcal{S}(G) \rightarrow \mathcal{S}(G)$  given by inverse image under  $F$ . Using this and 4(a), we see that, for  $F \in \mathfrak{F}$ , the map  $\text{Hom}(G^F, \bar{\mathbf{Q}}_l^*) \rightarrow \mathcal{S}(G), \psi \mapsto E^\psi$  is a group isomorphism of  $\text{Hom}(G^F, \bar{\mathbf{Q}}_l^*)$  onto the subgroup of  $\mathcal{S}(G)$  consisting of all character sheaves on  $G$  that are isomorphic to their inverse image under  $F$ . We see that in this case the analogue of 1(a) holds.

From the definitions, we see that,

(a) if  $\mathcal{L}_1 \in \mathcal{S}(G)$  and  $m : G \times G \rightarrow G$  is the multiplication map then  $m^* \mathcal{L}_1 = \mathcal{L}_1 \otimes \mathcal{L}_1$ .

In the case where  $G = \mathbf{k}$ , our definition of character sheaves on  $G$  reduces to that of the Artin-Schreier local systems on  $\mathbf{k}$ .

**6.** In this section we assume that  $G$  is a unipotent algebraic group over  $\mathbf{k}$  of "exponential type" that is, such that the exponential map from  $\text{Lie } G$  to  $G$  is well defined (and an isomorphism of varieties.) In this case we can define character sheaves on  $G$  using Kirillov theory. Namely, for each  $G$ -orbit in the dual of  $\text{Lie } G$  we consider the local system  $\bar{\mathbf{Q}}_l$  on that orbit extended by 0 on the complement of the orbit. Taking the Fourier-Deligne transform we obtain (up to shift) an irreducible intersection cohomology complex on  $\text{Lie } G$  (since the orbit is smooth and closed, by Kostant-Rosenlicht). We can view it as an intersection cohomology complex on  $G$  via the exponential map. The complexes on  $G$  thus obtained are by definition the character sheaves of  $G$ . Using Kirillov theory (see [K]) we see that in this case the analogue of 1(a) holds.

Assume, for example, that  $G$  is the group of all matrices

$$[a, b, c] = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}$$

with entries in  $\mathbf{k}$  and that  $2^{-1} \in \mathbf{k}$ . Consider the following intersection cohomology complexes on  $G$ :

(i) the complex which on the centre  $\{(0, b, 0); b \in \mathbf{k}\}$  is the local system  $\mathcal{E} \in \mathcal{S}(\mathbf{k}), \mathcal{E} \neq \bar{\mathbf{Q}}_l$  wxtended by 0 to the whole of  $G$ ;

(ii) the local system  $f^* \mathcal{E}$  where  $f[a, b, c] = (a, c)$  and  $\mathcal{E} \in \mathcal{S}(\mathbf{k}^2)$ .

The complexes (i),(ii) are the character sheaves of  $G$ .

**7.** In this section we assume that  $G$  is a connected unipotent algebraic group over  $\mathbf{k}$  (not necessarily of exponential type). We expect that in this case there is again a notion of character sheaf on  $G$  such that over a finite field, the characteristic functions of character sheaves form a basis of the space of class functions and each characteristic function of a character sheaf is a linear combination of a "small" number of irreducible characters. Thus here the situation should be similar to that for a general connected reductive group rather than that for  $GL_n$ . We illustrate this in one example. Assume that  $\mathbf{k}$  has characteristic 2. Let  $G$  be the group

consisting of all matrices of the form

$$\begin{pmatrix} 1 & a & b & c \\ 0 & 1 & d & b + ad \\ 0 & 0 & 1 & a \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

with entries in  $\mathbf{k}$ ; we also write  $[a, b, c, d]$  instead of the matrix above. (This group can be regarded as the unipotent radical of a Borel in  $Sp_4(\mathbf{k})$ .)

Let  $\mathcal{E}_0 \in \mathcal{S}(\mathbf{k})$  be the local system on  $\mathbf{k}$  associated in §5 to  $\mathbf{F}_q$  and to the homomorphism  $\psi_0 : \mathbf{F}_q \rightarrow \bar{\mathbf{Q}}_l^*$  (composition of the trace  $\mathbf{F}_q \rightarrow \mathbf{F}_2$  and the unique injective homomorphism  $\mathbf{F}_2 \rightarrow \bar{\mathbf{Q}}_l^*$ ).

Consider the following intersection cohomology complexes on  $G$ :

(i) the complex which on the centre  $\{[0, b, c, 0]; (b, c) \in \mathbf{k}^2\}$  is the local system  $\mathcal{E} \in \mathcal{S}(\mathbf{k}^2), \mathcal{E} \neq \bar{\mathbf{Q}}_l$  (see §5) extended by 0 to the whole of  $G$ ;

(ii) the complex which on  $\{[a_0, b, c, 0]; (b, c) \in \mathbf{k}^2\}$  (with  $a_0 \in \mathbf{k}^*$  fixed) is the local system  $pr_c^* \mathcal{E}$  where  $\mathcal{E} \in \mathcal{S}(\mathbf{k}), \mathcal{E} \neq \bar{\mathbf{Q}}_l$  (see §5) extended by 0 to the whole of  $G$ ;

(iii) the complex which on  $\{[0, b, c, d_0]; (b, c) \in \mathbf{k}^2\}$  (with  $d_0 \in \mathbf{k}^*$  fixed) is the local system  $f^* \mathcal{E}_0$  where  $f[0, b, c, d_0] = \alpha b + \alpha^2 d_0 c$  (with  $\alpha \in \mathbf{k}^*$  fixed) extended by 0 to the whole of  $G$ ;

(iv) the complex which on  $\{[a_0, b, c, d_0]; (b, c) \in \mathbf{k}^2\}$  (with  $a_0, d_0 \in \mathbf{k}^*$  fixed) is the local system  $f^* \mathcal{E}_0$  where  $f[a_0, b, c, d_0] = a_0^{-2} d_0^{-1} c$  extended by 0 to the whole of  $G$ ;

(v) the local system  $f^* \mathcal{E}$  on  $G$  where  $f[a, b, c, d] = (a, d) \in \mathbf{k}^2$  and  $\mathcal{E} \in \mathcal{S}(\mathbf{k}^2)$ .

By definition, the character sheaves on  $G$  are the complexes in (i)-(v) above. Note that there are infinitely many subvarieties of  $G$  which appear as supports of character sheaves (this in contrast with the case of reductive groups). There is a symmetry that exchanges the character sheaves of type (ii) with those of type (iii). Namely, define  $\xi : G \rightarrow G$  by

$$[a, b, c, d] \mapsto [d, c + ab + a^2 d, b^2 + dc + abd, a^2].$$

Then  $\xi$  is a homomorphism whose square is  $[a, b, c, d] \mapsto [a^2, b^2, c^2, d^2]$ ; moreover,  $\xi^*$  interchanges the sets (ii) and (iii) and it leaves stable each of the sets (i), (iv) and (v).

Now  $G$  has an obvious  $\mathbf{F}_q$ -structure with Frobenius map  $F : G \rightarrow G$ . We describe the irreducible characters of  $G(\mathbf{F}_q)$ .

(I) We have  $q^2$  one dimensional characters  $U \rightarrow \bar{\mathbf{Q}}_l^*$  of the form  $[a, b, c, d] \mapsto \psi_0(xa + yd)$  (one for each  $x, y \in \mathbf{F}_q$ ).

(II) We have  $q - 1$  irreducible characters of degree  $q$  of the form  $[0, b, c, 0] \mapsto q\psi_0(xb)$  (all other elements are mapped to 0), one for each  $x \in \mathbf{F}_q - \{0\}$ .

(III) We have  $q - 1$  irreducible characters of degree  $q$  of the form  $[0, b, c, 0] \mapsto q\psi_0(xc)$  (all other elements are mapped to 0), one for each  $x \in \mathbf{F}_q - \{0\}$ .

(IV) We have  $4(q-1)^2$  irreducible characters of degree  $q/2$ , one for each quadruple  $(a_0, d_0, \epsilon_1, \epsilon_2)$  where

$$a_0 \in \mathbf{F}_q^*, d_0 \in \mathbf{F}_q^*, \epsilon_1 \in \text{Hom}(\{0, a_0\}, \pm 1), \epsilon_2 \in \text{Hom}(\{0, d_0\}, \pm 1),$$

namely

$$[a, b, c, d] \mapsto (q/2)\epsilon_1(a)\epsilon_2(d)\psi_0(a_0^{-2}d_0^{-1}(ba + ba_0 + c)),$$

if  $a \in \{0, a_0\}, d \in \{0, d_0\}$ ; all other elements are sent to 0.

A character of type (II) is obtained by inducing from the subgroup  $\{[a, b, c, d] \in G(\mathbf{F}_q); d = 0\}$  the one dimensional character  $[a, b, c, 0] \mapsto \psi_0(xb)$  where  $x \in \mathbf{F}_q - \{0\}$ . A character of type (III) is obtained by inducing from the commutative subgroup  $\{[a, b, c, d] \in G(\mathbf{F}_q); a = 0\}$  the one dimensional character  $[0, b, c, d] \mapsto \psi_0(xc)$  where  $x \in \mathbf{F}_q - \{0\}$ . A character of type (IV) is obtained by inducing from the subgroup  $\{(a, b, c, d) \in G(\mathbf{F}_q); a \in \{0, a_0\}\}$  (where  $a_0 \in \mathbf{F}_q - \{0\}$  is fixed) the one dimensional character  $[a, b, c, d] \mapsto \epsilon_1(a)\psi_0(fd + a_0^{-2}d_0^{-1}(ba + ba_0 + c))$  where  $f \in \mathbf{F}_q$  is chosen so that  $\psi_0(fd_0) = \epsilon_2(d_0)$  (the induced character does not depend on the choice of  $f$ ).

Consider the matrix expressing the characteristic functions of character sheaves  $A$  such that  $F^*A \cong A$  (suitably normalized) in terms of irreducible characters of  $G(\mathbf{F}_q)$ . This matrix is square and a direct sum of diagonal blocks of size  $1 \times 1$  (with entry 1) or  $4 \times 4$  with entries  $\pm 1/2$ , representing the Fourier transform over a two dimensional symplectic  $\mathbf{F}_2$ -vector space. There are  $(q-1)^2$  blocks of size  $4 \times 4$  involving the irreducible characters of type IV.

We see that, in our case, the character sheaves have the desired properties. We also note that in our case,  $G(\mathbf{F}_q)$  has some irreducible character whose degree is not a power of  $q$  (but  $q/2$ ) in contrast with what happens in the situation in §6.

**8.** Let  $\epsilon$  be an indeterminate. For  $r \geq 2$  let  $\mathcal{A}_r = \mathbf{k}[\epsilon]/(\epsilon^r)$ . Let  $G = GL_n(\mathcal{A}_r)$ . Let  $B$  (resp.  $T$ ) be the group of upper triangular (resp. diagonal) matrices in  $G$ . Then  $G$  is in a natural way a connected affine algebraic group over  $\mathbf{k}$  of dimension  $n^2r$  and  $B, T$  are closed subgroups of  $G$ . On  $G$  we have a natural  $\mathbf{F}_q$ -structure with Frobenius map  $F : G \rightarrow G, (g_{ij}) \mapsto (g_{ij}^{(q)})$  where for  $a_0, a_1, \dots, a_{r-1}$  in  $\mathbf{k}$  we set  $(a_0 + a_1\epsilon + \dots + a_{r-1}\epsilon^{r-1})^{(q)} = a_0^q + a_1^q\epsilon + \dots + a_{r-1}^q\epsilon^{r-1}$ . The fixed point set of  $F : G \rightarrow G$  is  $GL_n(\mathbf{F}_q[\epsilon]/(\epsilon^r))$ . For  $i \neq j$  in  $[1, n]$ , we consider the homomorphism  $f_{ij} : \mathbf{k} \rightarrow T$  which takes  $x \in \mathbf{k}$  to the diagonal matrix with  $ii$ -entry equal to  $1 + \epsilon^{r-1}x$ ,  $jj$ -entry equal to  $1 - \epsilon^{r-1}x$  and other diagonal entries equal to 1. Since  $T$  is connected and commutative, the group  $\mathcal{S}(T)$  is defined (see §5). Let  $\mathcal{L} \in \mathcal{S}(T)$ . We will assume that  $\mathcal{L}$  is *regular* in the following sense: for any  $i \neq j$  in  $[1, n]$ ,  $f_{ij}^*\mathcal{L}$  is not isomorphic to  $\mathbf{Q}_l$ .

Let  $\pi : B \rightarrow T$  be the obvious homomorphism. Consider the diagram

$$G \xleftarrow{a} Y \xrightarrow{b} T$$

where

$$Y = \{(g, xB) \in G \times G/B; x^{-1}gx \in B\}, a(g, xB) = g, b(g, xB) = \pi(x^{-1}gx).$$

Then  $b^*\mathcal{L}$  is a local system on  $Y$  and we may consider the complex  $a_!b^*\mathcal{L}$  on  $G$ .

As in §5, we can find an integer  $m_0 > 0$  such that, for any  $m \in \mathcal{M} = \{m_0, 2m_0, 3m_0, \dots\}$ ,  $\mathcal{L}$  is associated to  $(\mathbf{F}_{q^m}, \psi_m)$  where  $\psi_m \in \text{Hom}(T^{F^m}, \bar{\mathbf{Q}}_l^*)$ . We can regard  $\psi_m$  as a character  $B(\mathbf{F}_{q^m}) \rightarrow \bar{\mathbf{Q}}_l^*$  via  $\pi : B \rightarrow T$ ; inducing this from  $B(\mathbf{F}_{q^m})$  to  $G(\mathbf{F}_{q^m})$  we obtain a representation of  $G(\mathbf{F}_{q^m})$  whose character is denoted by  $c_m$ . It is easy to see (using the regularity of  $\mathcal{L}$ ) that this character is irreducible.

For  $m \in \mathcal{M}$ , there is a unique isomorphism  $(F^m)^*\mathcal{L} \xrightarrow{\sim} \mathcal{L}$  of local systems on  $T$  which induces the identity on the stalk of  $\mathcal{L}$  at 1. This induces an isomorphism  $(F^m)^*(b^*\mathcal{L}) \xrightarrow{\sim} b^*\mathcal{L}$  (where  $F : Y \rightarrow Y$  is  $(g, xB) \mapsto (F(g), F(x)B)$ ) and an isomorphism  $(F^m)^*(a_!b^*\mathcal{L}) \xrightarrow{\sim} a_!b^*\mathcal{L}$  in  $\mathcal{D}(G)$ . Let  $\chi_m : G^{F^m} \rightarrow \bar{\mathbf{Q}}_l$  be the characteristic function of  $a_!b^*\mathcal{L}$  with respect to this isomorphism. From the definitions we see that  $\chi_m = c_m$ . This shows that  $a_!b^*\mathcal{L}$  behaves like a character sheaf except for the fact that it is not clear that it is an intersection cohomology complex.

We conjecture that:

(a) *if  $\mathcal{L}$  is regular then  $a_!b^*\mathcal{L}$  is an intersection cohomology complex on  $G$ .*

(The conjecture also makes sense and is expected to be true when  $GL_n$  is replaced by any reductive group, and  $G$  by the corresponding group over  $\mathcal{A}_r$ .) Thus one can expect that there is a theory of character sheaves for  $G$ , as far as generic principal series representations and their twisted forms is concerned. But one cannot expect a complete theory of character sheaves in this case (see §13).

In §9-§12 we prove the conjecture in the special case where  $G = GL_2(\mathbf{k})$  and  $r = 2$ .

**9.** Let  $\mathcal{A} = \mathcal{A}_2 = \mathbf{k}[\epsilon]/(\epsilon^2)$ . Let  $V$  be a free  $\mathcal{A}$ -module of rank 2. Let  $G$  be the group of automorphisms of the  $\mathcal{A}$ -module  $V$ . This is the group of all automorphisms of the 4-dimensional  $\mathbf{k}$ -vector space  $V$  that commute with the map  $\epsilon : V \rightarrow V$  given by the  $\mathcal{A}$ -module structure. Hence  $G$  is an algebraic group of dimension 8 over  $\mathbf{k}$ . Let  ${}^0\tilde{G}$  be the set of all pairs  $(g, V_2)$  where  $g \in G$  and  $V_2$  is a free  $\mathcal{A}$ -submodule of  $V$  of rank 1 such that  $gV_2 = V_2$ . For  $k = 1, 2$ , let  $X_k$  be the set of all  $\mathcal{A}$ -submodules of  $V$  that have dimension  $k$  as a  $\mathbf{k}$ -vector space. Let  $\tilde{G}$  be the set of all triples  $(g, V_1, V_2)$  where  $g \in G$ ,  $V_1 \in X_1, V_2 \in X_2, V_1 \subset V_2, gV_1 = V_1, gV_2 = V_2$  and the scalars by which  $g$  acts on  $V_1$  and  $V_2/V_1$  coincide. We can regard  ${}^0\tilde{G}$  as a subset of  $\tilde{G}$  by  $(g, V_2) \mapsto (g, \epsilon V_2, V_2)$ . Note that  $\tilde{G}$  is naturally an algebraic variety over  $\mathbf{k}$  and  ${}^0\tilde{G}$  is an open subset of  $\tilde{G}$ .

The group of units  $\mathcal{A}'$  of  $\mathcal{A}$  is an algebraic group isomorphic to  $\mathbf{k}^* \times \mathbf{k}$ . Hence  $\mathcal{S}(\mathcal{A}')$  is defined. Let  $\mathcal{L}_1 \in \mathcal{S}(\mathcal{A}'), \mathcal{L}_2 \in \mathcal{S}(\mathcal{A}')$ . Let  $\mathcal{L} = \mathcal{L}_1 \boxtimes \mathcal{L}_2 \in \mathcal{S}(\mathcal{A}' \times \mathcal{A}')$ ,  $\mathcal{E} = \mathcal{L}_2 \otimes \mathcal{L}_1^* \in \mathcal{S}(\mathcal{A}')$ . Define  $f : {}^0\tilde{G} \rightarrow \mathcal{A}' \times \mathcal{A}'$  by  $f(g, V_2) = (\alpha_1, \alpha_2)$  where  $\alpha_1 \in \mathcal{A}'$  is given by  $gv = \alpha_1 v$  for  $v \in V_2$  and  $\alpha_2 \in \mathcal{A}'$  is given by  $gv' = \alpha_2 v'$  for  $v' \in V/V_2$ . Let  $\tilde{\mathcal{L}} = f^*(\mathcal{L}_1 \boxtimes \mathcal{L}_2)$ , a local system on  ${}^0\tilde{G}$ . Define  $f_i : {}^0\tilde{G} \rightarrow \mathcal{A}'$  ( $i = 1, 2$ ) by  $f_1(g, V_2) = \alpha_1 \alpha_2, f_2(g, V_2) = \alpha_1$  where  $\alpha_1, \alpha_2$  are as above. Then  $\mathcal{L} = f_1^* \mathcal{L}_1 \otimes f_2^* \mathcal{L}_2$ . (We use 5(a).)

We shall assume that  $\mathcal{L}$  is *regular* in the following sense: the restriction of  $\mathcal{E}$  to



the subgroup  $\mathcal{T} = \{1 + \epsilon c; c \in \mathbf{k}\}$  of  $\mathcal{A}'$  is not isomorphic to  $\bar{\mathbf{Q}}_l$ .

**Lemma 10.** (a)  $\tilde{G}$  is an irreducible, smooth variety and  $\tilde{G} - {}^0\tilde{G}$  is a smooth irreducible hypersurface in  $\tilde{G}$ .

(b) We have  $IC(\tilde{G}, \tilde{\mathcal{L}})|_{\tilde{G}-{}^0\tilde{G}} = 0$ .

Note that  $f_1 : {}^0\tilde{G} \rightarrow \mathcal{A}'$  extends to the whole of  $\tilde{G}$  by  $f_1(g, V_1, V_2) = \det_{\mathcal{A}}(g : V \rightarrow V)$ . Hence  $f_1^* \mathcal{L}_1$  extends to a local system on  $\tilde{G}$  and we have  $IC(\tilde{G}, \tilde{\mathcal{L}}) = f_1^* \mathcal{L}_1 \otimes IC(\tilde{G}, f_2^* \mathcal{E})$ . Hence to prove (b) it is enough to show that  $IC(\tilde{G}, f_2^* \mathcal{E})$  is zero on  $\tilde{G} - {}^0\tilde{G}$ .

Let  $Z$  (resp.  $H$ ) be the fibre of the second projection  $\tilde{G} \rightarrow X_1$  (resp.  $\tilde{G} - {}^0\tilde{G} \rightarrow X_1$ ) at  $V_1 \in X_1$ . Since  $G$  acts transitively on  $X_1$  it is enough to show that  $Z$  is smooth, irreducible,  $H$  is a smooth, irreducible hypersurface in  $Z$  and  $IC(Z, f_2^* \mathcal{E})$  is zero on  $H$  (the restriction of  $f_2$  to  $Z$  is denoted again by  $f_2$ ).

Let  $e_1, e_2$  be a basis of  $V$  such that  $V_1 = \mathbf{k}\epsilon e_1$ . The subspaces  $V_2 \in X_2$  such that  $V_1 \subset V_2$  are exactly the subspaces  $V_2^{z', z''} = \mathbf{k}\epsilon e_1 + \mathbf{k}(z' e_1 + z'' \epsilon e_2)$  where  $(z', z'') \in \mathbf{k}^2 - \{0\}$ . An element  $g \in G$  is of the form

$$\begin{aligned} g e_1 &= a_0 e_1 + b_0 e_2 + a_1 \epsilon e_1 + b_1 \epsilon e_2, \\ g e_2 &= c_0 e_1 + d_0 e_2 + c_1 \epsilon e_1 + d_1 \epsilon e_2 \end{aligned}$$

where  $a_i, b_i, c_i, d_i \in \mathbf{k}$  satisfy  $a_0 d_0 - b_0 c_0 \neq 0$ .

The condition that  $g e_1 \in \mathbf{k}\epsilon e_1$  is  $b_0 = 0$ . The condition that  $g V_2^{z', z''} = V_2^{z', z''}$  is that  $z' b_1 + z'' d_0 = a_0 z''$  if  $z' \neq 0$  (no condition if  $z' = 0$ ). The condition that the scalars by which  $g$  acts on  $V_1$  and  $V_2^{z', z''}/V_1$  coincide is  $a_0 = d_0$  if  $z' = 0$  (no condition if  $z' \neq 0$ ).

We see that we may identify  $Z$  with

$$\begin{aligned} \{(a_0, c_0, d_0, a_1, b_1, c_1, d_1; z', z'') \in \mathbf{k}^7 \times (\mathbf{k}^2 - \{0\})/\mathbf{k}^*; \\ a_0 \neq 0, d_0 \neq 0, z' b_1 = z''(a_0 - d_0)\} \end{aligned}$$

and  $H$  with the subset defined by  $z' = 0$ . In this description it is clear that  $Z$  is irreducible, smooth and  $H$  is a smooth, irreducible hypersurface in  $Z$ . The function  $f_2$  takes a point with  $z' \neq 0$  to  $a_0 + \epsilon(a_1 + z'' z'^{-1} c_0)$ . To prove the statement on intersection cohomology we may replace  $Z$  by the open subset  $z'' \neq 0$  containing  $H$ . Thus we may replace  $Z$  by

$$Z_1 = \{(a_0, c_0, d_0, a_1, b_1, c_1, d_1; z) \in \mathbf{k}^7 \times \mathbf{k}; a_0 \neq 0, d_0 \neq 0, z b_1 = a_0 - d_0\}$$

and  $H$  by the subset defined by  $z = 0$ . The function  $f_2$  is defined on  $Z_1 - H$  by

$$a_0 + \epsilon(a_1 + z^{-1} c_0) = (a_0 + \epsilon a_1)(1 + \epsilon z^{-1} c_0 a_0^{-1}).$$

Thus  $f_2 = f_3 f_4$  where  $f_3$  (resp.  $f_4$ ) is defined on  $Z_1 - H$  by  $a_0 + \epsilon a_1$  (resp.  $1 + \epsilon z^{-1} c_0 a_0^{-1}$ ). Hence  $f_2^* \mathcal{E} = f_3^* \mathcal{E} \otimes f_4^* \mathcal{E}$ . Now  $f_3$  extends to  $Z_1$  hence  $f_3^* \mathcal{E}$  extends

to a local system on  $Z_1$ . We have  $IC(Z_1, f_3^* \mathcal{E} \otimes f_4^* \mathcal{E}) = f_3^* \mathcal{E} \otimes IC(Z_1, f_4^* \mathcal{E})$ . It is enough to show that  $IC(Z_1, f_4^* \mathcal{E})$  is zero on  $H$ . We make the change of variable  $c = c_0 a_0^{-1}$ . Then  $Z_1$  becomes

$$Z_1 = \{(a_0, c, a_1, b_1, c_1, d_1; z) \in \mathbf{k}^7 \times \mathbf{k}; a_0 \neq 0, a_0 - z b_1 \neq 0\},$$

$H$  is the subset defined by  $z = 0$  and  $f_4 : Z_1 - H \rightarrow \mathcal{A}'$  is given by  $1 + \epsilon z^{-1} c$ . Let  $\tilde{Z}_1 = \{(a_0, c, a_1, b_1, c_1, d_1; z) \in \mathbf{k}^7 \times \mathbf{k}\}$  and let  $H_1$  be the subset of  $\tilde{Z}_1$  defined by  $z = 0$ . Then  $Z_1$  is open in  $\tilde{Z}_1$  and  $f_4$  is well defined on  $\tilde{Z}_1 - H_1$  by  $1 + \epsilon z^{-1} c$ . Hence  $f_4^* \mathcal{E}$  is well defined on  $\tilde{Z}_1 - H_1$ . It is enough to show that  $IC(\tilde{Z}_1, f_4^* \mathcal{E})$  is zero on  $H_1$ . Let  $H' = \{(c, z) \in \mathbf{k}^2; z = 0\}$  and define  $f' : \mathbf{k}^2 - H' \rightarrow \mathcal{A}'$  by  $f'(c, z) = 1 + \epsilon z^{-1} c$ . It is enough to show that  $IC(\mathbf{k}^2, f'^* \mathcal{E})$  is zero on  $H'$ . Let  $P$  be the projective line associate to  $\mathbf{k}^2$ . Then  $H'$  defines a point  $x_0 \in P$ . Since  $f'$  is constant on lines, it defines a map  $h : P - \{x_0\} \rightarrow \mathcal{A}'$ . Since  $P$  is 1-dimensional we have  $IC(P, h^* \mathcal{E}) = \mathcal{F}$  where  $\mathcal{F}$  is a constructible sheaf on  $P$  whose restriction to  $P - \{x_0\}$  is  $h^* \mathcal{E}$ . It is enough to show that

(c) the stalk of  $\mathcal{F}$  at  $x_0$  is 0;

(d)  $H^i(P, \mathcal{F}) = 0$  for  $i = 0, 1$ .

(Indeed, (c) implies that  $IC(\mathbf{k}^2, f'^* \mathcal{E})$  is zero at  $(c, 0)$  with  $c \neq 0$  and (d) implies that  $IC(\mathbf{k}^2, f'^* \mathcal{E})$  is zero at  $(0, 0)$ .)

Consider the standard  $\mathbf{F}_q$ -rational structures on  $\mathbf{k}^2, X, \mathcal{A}'$  and let  $F$  be the corresponding Frobenius map. We may assume that  $\mathcal{E}$  is associated as in §5 to  $(\mathbf{F}_q, \psi)$  where  $\psi \in \text{Hom}(\mathcal{A}'^F, \bar{\mathbf{Q}}_l^*)$ . For any  $m \in \mathbf{Z}_{>0}$  there is a unique isomorphism  $\phi_m : (F^m)^* \mathcal{E} \xrightarrow{\sim} \mathcal{E}$  which induces the identity on the stalk of  $\mathcal{E}$  at 1. The characteristic function of  $\mathcal{E}$  with respect to this isomorphism is  $a' \mapsto \psi(N_{F^m/F}(a'))$ ,  $a' \in \mathcal{A}'^{F^m}$ . Since, by assumption,  $\mathcal{E}|_{\mathcal{T}}$  is not isomorphic to  $\bar{\mathbf{Q}}_l$ ,  $\psi|_{\mathcal{T}^F}$  is not the trivial character. Hence  $\psi \circ N_{F^m/F} : \mathcal{A}'^{F^m} \rightarrow \bar{\mathbf{Q}}_l^*$  is non-trivial on  $\mathcal{T}^{F^m}$ . Now  $\phi_m$  induces an isomorphism  $\phi'_m : (F^m)^* h^* \mathcal{E} \xrightarrow{\sim} h^* \mathcal{E}$ . We show that

(e)  $\sum_{x \in P^{F^m} - \{x_0\}} \text{tr}(\phi'_m, (h^* \mathcal{E})_x) = 0$ .

An equivalent statement is:

$$\sum_{(c,z) \in \mathbf{F}_{q^m} \times \mathbf{F}_{q^m}^*} (\psi \circ N_{F^m/F})(1 + \epsilon z^{-1} c) = 0,$$

which follows from the fact that  $\psi \circ N_{F^m/F} : \mathcal{A}'^{F^m} \rightarrow \bar{\mathbf{Q}}_l^*$  is non-trivial on  $\mathcal{T}^{F^m}$ . Introducing (e) in the trace formula for Frobenius, we see that

(f)  $\sum_{i=0}^2 (-1)^i \text{tr}(\phi'_m, H^i(P, \mathcal{F})) = \text{tr}(\phi'_m, \mathcal{F}_{x_0})$

where  $\mathcal{F}_{x_0}$  is the stalk of  $\mathcal{F}$  at  $x_0$  and  $\phi'_m$  is in fact equal to  $\phi_1^m$  (for  $m = 1, 2, 3, \dots$ ). By Deligne's purity theorem,  $H^i(P, \mathcal{F})$  together with  $\phi_1^i$  is pure of weight  $i$ ; by Gabber's theorem [BBD],  $\mathcal{F}_{x_0}$  together with  $\phi_1^0$  is mixed of weight  $\leq 0$ . Hence from (f) we deduce that  $H^1(P, \mathcal{F}) = 0, H^2(P, \mathcal{F}) = 0$  and  $\dim H^0(P, \mathcal{F}) = \dim \mathcal{F}_{x_0}$ . By the hard Lefschetz theorem [BBD] we have  $\dim H^0(P, \mathcal{F}) = \dim H^2(P, \mathcal{F})$ . It follows that  $H^0(P, \mathcal{F}) = 0$  hence  $\mathcal{F}_{x_0} = 0$ . This proves (c),(d). The lemma is proved.

**Lemma 11.** Define  $\rho : {}^0\tilde{G} \rightarrow G$  by  $(g, V_2) \mapsto g$ . Let  $K = \rho_! \tilde{\mathcal{L}}$ . Let  $G_0$  be the open dense subset of  $G$  consisting of all  $g \in G$  such that  $g : \epsilon V \rightarrow \epsilon V$  is regular,

*semisimple. Let  $\rho_0 : \rho^{-1}(G_0) \rightarrow G_0$  be the restriction of  $\rho$ . Then  $\rho_{0!}\tilde{\mathcal{L}}$  is a local system on  $G_0$ . We have  $\dim \text{supp} \mathcal{H}^i K < \dim G - i$  for any  $i > 0$ .*

The first assertion of the lemma follows from the fact that  $\rho_0$  is a double covering. To prove the second assertion it is enough to show that, for  $i > 0$ , the set  $G_i$  consisting of the points  $g \in G$  such that  $\dim \rho^{-1}(g) = i$  and  $\bigoplus_j H_c^j(\rho^{-1}(g), \tilde{\mathcal{L}}) \neq 0$  has codimension  $> 2i$  in  $G$ .

Consider the fibre  $\rho^{-1}(g)$  for  $g \in G$ . We may assume that, with respect to a suitable  $\mathcal{A}$ -basis of  $V$ ,  $g$  can be represented as an upper triangular matrix  $\begin{pmatrix} a & b \\ 0 & c \end{pmatrix}$  with  $a, c$  in  $\mathcal{A}'$  and  $b \in \mathcal{A}$ . (Otherwise,  $\rho^{-1}(g)$  is empty.) There are five cases:

*Case 1.*  $a - d \in \mathcal{A}'$ . Then  $\rho^{-1}(g)$  consists of two points.

*Case 2.*  $a - d \in \epsilon\mathcal{A}, b \in \mathcal{A}'$ . Then  $\rho^{-1}(g)$  is an affine line.

*Case 3.*  $a - d \in \epsilon\mathcal{A} - \{0\}, b \in \epsilon\mathcal{A}$ . Then  $\rho^{-1}(g)$  is a disjoint union of two affine lines.

*Case 4.*  $a = d, b \in \epsilon\mathcal{A} - \{0\}$ . Then  $\rho^{-1}(g)$  is an affine line.

*Case 5.*  $a = d, b = 0$ . Then  $\rho^{-1}(g)$  is an affine line bundle over a projective line.

In case 2, we may identify  $\rho^{-1}(g), \tilde{\mathcal{L}}|_{\rho^{-1}(g)}$  with  $P - \{x_0\}, \mathcal{F}|_{P - \{x_0\}}$  in the proof of Lemma 10. Then the argument in that proof shows that  $H_c^j(\rho^{-1}(g), \tilde{\mathcal{L}}) = 0$  for all  $j$ . We see that  $G_1$  consists of all  $g$  as in case 3 and 4, hence  $G_1$  has codimension 3 in  $G$ . We see that  $G_2$  consists of all  $g$  as in case 5, hence  $G_2$  has codimension 6 in  $G$ . The lemma is proved. Note that without the assumption that  $\mathcal{L}$  is regular, the last assertion of the lemma would not hold (there would be a violation coming from  $g$  in case 2.)

**12.** We show:

$$(a) \quad \rho_! \tilde{\mathcal{L}} = IC(G, \rho_{0!} \tilde{\mathcal{L}}).$$

Define  $\tilde{\rho} : \tilde{G} \rightarrow G$  by  $\tilde{\rho}(g, V_1, V_2) = g$ . Clearly,  $\tilde{\rho}$  is proper. Let  $j : {}^0\tilde{G} \rightarrow G$  be the inclusion. We have  $\rho = \tilde{\rho} \circ j$  hence  $\rho_! \tilde{\mathcal{L}} = \tilde{\rho}_!(j_! \tilde{\mathcal{L}})$ . By Lemma 10, we have  $j_! \tilde{\mathcal{L}} = IC(\tilde{G}, \tilde{\mathcal{L}})$  hence  $\rho_! \tilde{\mathcal{L}} = \tilde{\rho}_! IC(\tilde{G}, \tilde{\mathcal{L}})$ . Since  $\tilde{\rho}$  is proper,  $\tilde{\rho}_!$  commutes with the Verdier duality  $\mathfrak{D}$ . Hence  $\mathfrak{D}(\rho_! \tilde{\mathcal{L}}) = \tilde{\rho}_! \mathfrak{D} IC(\tilde{G}, \tilde{\mathcal{L}})$ . Hence  $\mathfrak{D}(\rho_! \tilde{\mathcal{L}})$  equals  $\tilde{\rho}_! IC(\tilde{G}, \tilde{\mathcal{L}}^*)$  up to a shift. Now the same argument that shows  $j_! \tilde{\mathcal{L}} = IC(\tilde{G}, \tilde{\mathcal{L}})$  shows also  $j_! \tilde{\mathcal{L}}^* = IC(\tilde{G}, \tilde{\mathcal{L}}^*)$ . Hence, up to shift,  $\mathfrak{D}(\rho_! \tilde{\mathcal{L}})$  equals  $\tilde{\rho}_! j_! \tilde{\mathcal{L}}^* = \rho_! \tilde{\mathcal{L}}^*$ . Now the argument in Lemma 12 can also be applied to  $\tilde{\mathcal{L}}^*$  instead of  $\tilde{\mathcal{L}}$  and yields  $\dim \text{supp} \mathcal{H}^i \rho_! \tilde{\mathcal{L}}^* < \dim G - i$  for any  $i > 0$ . Thus,  $\rho_! \tilde{\mathcal{L}}$  satisfies the defining properties of  $IC(G, \rho_{0!} \tilde{\mathcal{L}})$  hence it is equal to it. This proves (a).

We see that conjecture 8(a) holds for  $n = 2, r = 2$ .

**13.** If  $G$  is a connected affine algebraic group over  $\mathbf{k}$  which is neither reductive nor nilpotent, one cannot expect to have a complete theory character sheaves for  $G$ . Assume for example that  $G$  is the group of all matrices

$$[a, b] = \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$$

with entries in  $\mathbf{k}$ . The group  $G(\mathbf{F}_q)$  (for the obvious  $\mathbf{F}_q$ -rational structure) has  $(q - 1)$  one dimensional representations and one  $(q - 1)$ -dimensional irreducible representation. The character of a one dimensional representation can be realized in terms of an intersection cohomology complex (a local system on  $G$ ), but that of the  $(q - 1)$  dimensional irreducible representation appears as a difference of two intersection cohomology complexes, one given by the local system  $\bar{\mathbf{Q}}_l$  on the unipotent radical of  $G$  and one supported by the unit element of  $G$ . A similar phenomenon occurs for  $G$  as in §9 and for a  $(q^2 - 1)$ -dimensional irreducible representation of  $G(\mathbf{F}_q)$ .

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